

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
21 December 2000 (21.12.2000)

PCT

(10) International Publication Number  
**WO 00/76533 A1**

(51) International Patent Classification<sup>7</sup>: A61K 38/00,  
38/17, 35/14, 31/74, 9/00, A01N 43/04, C07K 1/00

(74) Agents: HESLIN, James, M. et al.; Townsend and  
Townsend and Crew LLP, 8th Floor, Two Embarcadero  
Center, San Francisco, CA 94111 (US).

(21) International Application Number: PCT/US00/15998

(81) Designated State (*national*): JP.

(22) International Filing Date: 9 June 2000 (09.06.2000)

(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE).

(25) Filing Language: English

(26) Publication Language: English

Published:

— With international search report.

(30) Priority Data:  
09/330,315 10 June 1999 (10.06.1999) US

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(71) Applicant: FUSION MEDICAL TECHNOLOGIES,  
INC. [US/US]; 1615 Plymouth Street, Mountain View,  
CA 94043 (US).

(72) Inventors: REICH, Cary, J.; 15621 Lomas Lane, Los  
Gatos, CA 95030 (US). OSAWA, A., Edward; 40 East-  
wood Drive, San Francisco, CA 94112 (US). TRAN, He-  
len; 2574 Glen Dundee Way, San Jose, CA 94148 (US).



WO 00/76533 A1

(54) Title: HEMOACTIVE COMPOSITIONS AND METHODS FOR THEIR MANUFACTURE AND USE

(57) Abstract: Dried hemoactive materials comprise both a cross-linked biologically compatible polymer and a non-cross-linked biologically compatible polymer. The cross-linked polymer is selected to form a hydrogel when exposed to blood. The non-cross-linked polymer is chosen to solubilize relatively rapidly when exposed to blood. The non-cross-linked polymer serves as a binder for holding the materials in desired geometries, such as sheets, pellets, plugs, or the like. Usually, the cross-linked polymer will be present in a particulate or fragmented form. The materials are particularly suitable for hemostasis and drug delivery.

## HEMOACTIVE COMPOSITIONS AND METHODS FOR THEIR MANUFACTURE AND USE

### BACKGROUND OF THE INVENTION

#### 1. Filed of the Invention

The present invention relates generally to biocompatible polymeric compositions and methods for their production and use. More particularly, the present invention relates to compositions for promoting hemostasis and delivery of bioactive substances.

The ability to inhibit bleeding in a patient (hemostasis) and deliver bioactive substances to the patient (drug delivery) are both of great medical importance. Over the years numerous devices, compositions, and biological agents have been developed for both purposes. As no one device, composition, or approach can fulfill all medical needs, there continues to be a need to provide alternative and improved modalities for achieving both hemostasis and drug delivery.

In particular, it would be desirable to provide new and alternative compositions which are suitable for performing either or both hemostasis and drug delivery to patients. Preferably, such compositions should be a convenient matrix for topical delivery to surgical and/or traumatic wounds to a patient's tissue structures or skin. In particular, such compositions should be dry, be capable of storage for prolonged periods, be in a sheet or other easily manipulable form to facilitate placement, require minimum preparation by a user prior to use, be relatively easy to fabricate, be compatible with the delivery of a wide variety of biological and other active agents, and the like. In the case of hemostatic materials, it would be particularly advantageous to be able to remove excess material without causing further bleeding or other adverse events. At least some of these objectives will be met by the embodiments of the invention described hereinafter.

## 2. Description of the Background Art

Biodegradable injectable drug delivery polymers are described in U.S. Patent No. 5,384,333 and by Jeong et al. (1997) "Nature," 388:860-862.

Biodegradable hydrogels for controlled released drug delivery are described in  
5 U.S. Patent No. 4,925,677. Resorbable collagen-based drug delivery systems are described in U.S. Patent Nos. 4,347,234 and 4,291,013. Aminopolysaccharide-based biocompatible films for drug delivery are described in U.S. Patent Nos. 5,300,494 and 4,946,870. Water soluble carriers for the delivery of taxol are described in U.S. Patent No. 5,648,506.

10 Polymers have been used as carriers of therapeutic agents to effect a localized and sustained release (Langer, et al., Rev. Macro. Chem. Phys., C23(1), 61, 1983; Controlled Drug Delivery, Vol. I and II, Bruck, S.D., (ed.), CRC Press, Boca Raton, Fla., 1983; Leong et al., Adv. Drug Delivery Review, 1:199, 1987). These therapeutic agent delivery systems simulate infusion and offer the potential of enhanced  
15 therapeutic efficacy and reduced systemic toxicity.

Other classes of synthetic polymers which have been proposed for controlled release drug delivery include polyesters (Pitt, et al., in Controlled Release of Bioactive Materials, R. Baker, Ed., Academic Press, New York, 1980); polyamides (Sidman, et al., Journal of Membrane Science, 7:227, 1979); polyurethanes (Maser, et al.,  
20 Journal of Polymer Science, Polymer Symposium, 66:259, 1979); polyorthoesters (Heller, et al., Polymer Engineering Science, 21:727, 1981); and polyanhydrides (Leong, et al., Biomaterials, 7:364, 1986). U.S. Patent No. 5,595,735 describes a thrombin paste composition using polyethylene glycols as carriers.

Collagen-containing compositions which have been mechanically  
25 disrupted to alter their physical properties are described in U.S. Patent Nos. 5,428,024; 5,352,715; and 5,204,382. These patents generally relate to fibrillar and insoluble collagens. An injectable collagen composition is described in U.S. Patent No. 4,803,075. An injectable bone/cartilage composition is described in U.S. Patent No. 5,516,532. A collagen-based delivery matrix comprising dry particles in the size range from 5  $\mu$ m to  
30 850  $\mu$ m which may be suspended in water and which has a particular surface charge density is described in WO 96/39159. A collagen preparation having a particle size from 1  $\mu$ m to 50  $\mu$ m useful as an aerosol spray to form a wound dressing is described in

U.S. Patent No. 5,196,185. Other patents describing collagen compositions include U.S. Patent Nos. 5,672,336 and 5,356,614.

A polymeric, non-erodible hydrogel that may be cross-linked and injected via a syringe is described in WO 96/06883.

5           The following pending applications, assigned to the assignee of the present application, contain related subject matter: USSN 09/032,370, filed on February 27, 1998; USSN 08/903,674, filed on July 31, 1997; USSN 60/050,437, filed on June 18, 1997; USSN 08/704,852, filed on August 27, 1996; USSN 08/673,710, filed June 19, 1996; USSN 60/011,898, filed February 20, 1996; USSN 60/006,321, filed on  
10 November 7, 1996; USSN 60/006,322, filed on November 7, 1996; USSN 60/006,324, filed on November 7, 1996; and USSN 08/481,712, filed on June 7, 1995. The full disclosures of each of these applications is incorporated herein by reference. WO 98/08550, which claims priority from USSN 08/903,674, described cross-linked biological polymers which are useful as a component of the materials of the present  
15 invention.

#### SUMMARY OF THE INVENTION

According to the present invention, hemoactive materials comprise a dried, cross-linked biologically compatible polymer which forms a hydrogel when exposed to blood and a non-cross-linked biologically compatible polymer which solubilizes when  
20 exposed to blood. A cross-linked polymer is dispersed in a dried matrix of the non-cross-linked polymer, and the materials are delivered to surgical sites, wounds, and other target regions in tissue which are subject to bleeding or otherwise have blood present. By "hemoactive," it is meant that the compositions will interact in some way with blood when exposed to blood. At a minimum, the non-cross-linked biocompatible polymer will  
25 solubilize in the presence of blood and release the cross-linked biologically compatible polymer so that it can hydrate and form a gel as it absorbs water from the blood. Thus, the non-cross-linked biologically compatible polymer forms a binder which maintains the cross-linked polymer in a desirable form prior to use. Usually, the compositions will be in the form of a sheet, typically having a thickness in the range from 1 mm to 25 mm,  
30 preferably from 2 mm to 15 mm. Alternatively, the materials can be formed into powders, pellets, large blocks, plugs, cylinders, tubes, split tubes, or other forms which may be conveniently delivered or placed to target tissue sites. Additionally, the

"hemoactive" materials may include other bioactive agents capable of providing desirable bioactivities. Of particular interest, the hemoactive materials may include hemostatic agents, such as blood clotting agents, e.g., thrombin, which will promote hemostatic activity of the material. A wide variety of other bioactive agents may be delivered, including other proteins, carbohydrates, nucleic acids, inorganic and organic biologically active molecules such as enzymes, enzyme inhibitors, antibiotics, anti-neoplastic agents, bacteriostatic agents, bactericidal agents, antiviral agents, anesthetics, anti-inflammatory agents, hormones, anti-angiogenic agents, antibodies, neurotransmitters, and the like. Additional components may be provided in the compositions, such as buffering agents, antioxidants, preservatives, viscosity modifiers, solubility modifiers, and the like, in order to enhance or modify the properties or shelf-life of the material. Preferably, the materials will be sterilized and maintained in a sterile package. Conventional sterilization methods include  $\gamma$ -irradiation, exposure to ethylene oxide, electronic beam irradiation, aseptic processing, and the like.

The compositions of the present invention will preferably comprise cross-linked biologically compatible polymers which are relatively persistent, usually having a degradation time of at least 1 day, preferably having a degradation time in the range from 2 days to 60 days. Conversely, the non-cross-linked biologically compatible polymers which form the binder will have a very short life and will typically dissolve in blood or aqueous media at physiologic temperature (37° C) in less than 15 minutes, preferably in from 30 seconds to 10 minutes. Preferred cross-linked polymers will be fragmented, i.e., be present in the materials as discrete dry particles which, upon subsequent hydration, will have a size in the range from 0.01 mm to 5 mm, preferably from 0.05 mm to 1 mm. The cross-linked polymers will be swellable, and will have an equilibrium swell when fully hydrated in the range from 200% to 5,000%, preferably from 500% to 1000%.

Equilibrium swell, expressed as a percentage, is defined as the ratio of the difference between the equilibrium wet weight and dry weight of the cross-linked polymer and the dry weight of the polymer as follows:

$$\text{Equilibrium Swell (\%)} = \frac{\text{Wet Weight} - \text{Dry Weight}}{\text{Dry Weight}} \times 100$$

The equilibrium wet weight is measured after the polymer has had an extended period of time in contact with the wetting agent after which the polymer can no longer take up significant additional wetting agent. For example, a cross-linked polymer that takes up five times its dry weight in water at equilibrium is said to have an equilibrium swell of 500% in water. A cross-linked polymer that takes up no water (that is, its equilibrium wet weight is the same as its dry weight) is said to have an equilibrium swell of 0% in water.

The cross-linked polymer will usually be the predominant component of the material, typically being present at from 50 weight % to 95 weight % of the total weight of the material, preferably being present from 80 weight % to 95 weight % of the total weight of the material. The binder, in contrast will usually form a much smaller portion of the material, typically being present at from 50 weight % to 1 weight % of the total weight of material, usually being present at from 20 weight % to 1 weight %.

Usually, a plasticizer will also be provided in the material, usually within the non-cross-linked phase of the material, and typically being present at from 1 weight % to 20 weight % of the total weight of the material, usually being present at from 3 weight % to 15 weight % of the material. Optionally, the plasticizer may be present in both the non-cross-linked polymer and the cross-linked polymer. Preferred plasticizers include polyethylene glycol, sorbitol, and glycerol.

The polymer which is cross-linked may be a protein, carbohydrate, non-biologic hydrogel-forming polymer or copolymer, or other biologically compatible polymer or combination of polymers which can form a hydrogel. Preferred polymers include proteins, such as gelatin, collagen, albumin, hemoglobin, fibrinogen, fibrin, fibronectin, elastin, keratin, laminin, casein, and the like. Preferred carbohydrate and carbohydrate derivative polymers include glycosaminoglycans, starches, celluloses, hemicelluloses, xylan, agarose, alginate, chitosan, and the like. Exemplary non-biologic hydrogel-forming polymers and copolymers include polyacrylates, polymethacrylates, polyacrylamides, polyvinyl polymers, polylactides-glycolides, polycaprolactones, polyoxyethylenes, and copolymers thereof. Usually, the degree of cross-linking of the cross-linked polymer will be selected to provide a desired swellability within the range set forth above.

The non-cross-linked biologically compatible polymer will usually be a protein or a carbohydrate and may be the same polymer as the polymer which is cross-linked. Exemplary proteins include gelatin, collagen, elastin, albumin, keratin, and the

like. Exemplary carbohydrates include glycosaminoglycans, alginate, starch, cellulose, derivatives thereof, and the like. The non-cross-linked polymer may also be non-biological water soluble polymer, such as any of the hydrogel-forming polymers and co-polymers set forth above. A particularly preferred and exemplary hemoactive material  
5 according to the present invention comprises a dry matrix of non-cross-linked gelatin polymer and dry cross-linked gelatin polymer present as particles dispersed in the dry gelatin matrix. Such compositions are described in greater detail in the Experimental section hereinafter.

When delivering an active agent, the active agent may be present in either  
10 the non-cross-linked polymer or the cross-linked polymer, or both. When present only in the non-cross-linked polymer, the active agent will be released substantially immediately when the material first dissolves upon contact with blood. When present in the non-cross-linked polymer, the material will be released much more gradually, typically over the entire time in which the cross-linked polymer degrades. Optionally, the same or  
15 different active agents can be provided in the two different phases of the material in order to provide different controlled release rates of the bioactive agent(s).

The materials of the present invention may be formed as sheets, powders, pellets, plugs, tubes, split tubes, cylinders, or the like, as generally described above. Such forms of the material are preferably produced sterilely (e.g., by aseptic processing) or  
20 sterilized and provided in sterile packs as part of kits. In addition to the sterile packs containing the solid forms of the materials, the kits will usually also contain instructions for use setting forth methods for inhibiting bleeding or delivering drugs by placing the sterilized materials at a target site in tissue, e.g., a wound or other site of bleeding tissue.

As a further aspect of the present invention, hemoactive materials may be  
25 made by dissolving a non-cross-linked biologically compatible polymer of the types described above in an aqueous medium. Particles of cross-linked biologically compatible polymer as described above are then suspended in the aqueous medium. The aqueous medium is then dried to form a solid phase comprising the dried polymeric particles in a dry matrix of the non-cross-linked polymer. Lyophilization (freeze-drying) is the  
30 preferred drying technique, although air drying, heat-assisted drying, spray drying, molding, and other methods could also be used under certain circumstances.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic illustration of a sheet of the hemoactive material of the present invention being placed on a bleeding tissue site.

Fig. 2 is a schematic illustration of the bleeding tissue site of Fig. 1 after the material has been placed and a hydrogel formed by the absorption of blood.

Fig. 3 is a schematic illustration of kits according to the present invention comprising various forms of the hemoactive material, instructions for use, and packaging.

### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Compositions according to the present invention comprise dried hemostatic materials including both a cross-linked biologically compatible polymer and a non-cross-linked biologically compatible polymer. By "biologically compatible" it is meant that the materials will meet the criteria in standard # ISO 10993-1 (International Organization for Standardization, Geneva, Switzerland). Generally, biologically compatible materials will be free from pyrogenic substances and will not cause adverse biological effects when applied to human tissue according to the methods as described below. Usually, the compositions of the present invention will be resorbable. By "resorbable" it is meant that the compositions will degrade or solubilize when placed directly on to or into a target site in a patient's body over a time period of less than one year, usually from 1 day to 120 days. Usually, the non-cross-linked polymer components of the materials of the present invention will degrade or solubilize much more quickly, typically in several minutes or less. The remaining cross-linked polymer will form a hydrogel at the placement site, where the hydrogel will persist over time, but will be resorbable as just set forth.

Preferred cross-linked polymers according to the present invention are described in detail in co-pending application Serial No. 09/032,370, filed on February 27, 1998, the full disclosure of which has been previously incorporated herein by reference. The cross-linked biologically compatible polymers may be molecular cross-linked. By "molecular cross-linked", it is meant that the materials comprise polymer molecules (i.e., individual chains) which are attached by bridges composed of either an element, a group, or a compound, where the backbone atoms of the polymer molecules are joined by chemical bonds. Alternatively, the cross-linked polymers may be formed by non-



covalent interactions such as ionic or hydrophobic. Cross-linking may be effected in a variety of ways, as will be described in greater detail below.

By "hydrogel," it is meant that the composition comprises a single phase aqueous colloid in which a biologic or non-biologic polymer, as defined in more detail  
5 below, absorbs water or an aqueous buffer. Preferably, the hydrogels will have little or no free water, i.e., water cannot be removed from the hydrogel by simple filtration, prior to use.

By "percent swell," it is meant that the dry weight is subtracted from the wet weight, divided by the dry weight and multiplied by 100, where wet weight is  
10 measured after the wetting agent has been removed as completely as possible from the exterior of the material, e.g., by filtration, and where dry weight is measured after exposure to an elevated temperature for a time sufficient to evaporate the wetting agent, e.g., 2 hours at 120° C.

"Equilibrium swell" is defined as the percent swell at equilibrium after the  
15 polymeric material has been immersed in a wetting agent for a time period sufficient for water content to become constant, typically 18 to 24 hours.

"Target site" is the location to which the hydrogel material is to be delivered. Usually, the target site will be the tissue location of interest, but in some cases the hydrogel may be administered or dispensed to a location near the location of interest,  
20 e.g., when the material swells *in situ* to cover the location of interest.

The cross-linked polymers of the present invention may be formed from biologic and non-biologic polymers. Suitable biologic polymers include proteins, such as gelatin, soluble collagen, albumin, hemoglobin, casein, fibrinogen, fibrin, fibronectin, elastin, keratin, laminin, and derivatives and combinations thereof. Particularly preferred  
25 is the use of gelatin or soluble non-fibrillar collagen, more preferably gelatin, and exemplary gelatin formulations are set forth below. Other suitable biologic polymers include polysaccharides, such as glycosaminoglycans (e.g., hyaluronic acid and chondroitin sulfate), starch derivatives, xylan, cellulose derivatives, hemicellulose derivatives, agarose, alginate, chitosan, and derivatives and combinations thereof.

30 Suitable non-biologic polymers will be selected to be degradable by either of two mechanisms, i.e., (1) break down of the polymeric backbone or (2) degradation of side chains which result in aqueous solubility. Exemplary non-biologic hydrogel-forming polymers include synthetics, such as polyacrylates, polymethacrylates, polyacrylamides,

polyvinyl resins, polylactides-glycolides, polycaprolactones, polyoxyethylenes, and derivatives and combinations thereof.

The polymer molecules may be cross-linked in any manner suitable to form an aqueous hydrogel according to the present invention. For example, polymeric  
5 molecules may be cross-linked using bi- or poly-functional cross-linking agents which covalently attach to two or more polymer molecules chains. Exemplary bifunctional cross-linking agents include aldehydes, epoxies, succinimides, carbodiimides, maleimides, azides, carbonates, isocyanates, divinyl sulfone, alcohols, amines, imidates, anhydrides, halides, silanes, diazoacetate, aziridines, and the like. Alternatively, cross-  
10 linking may be achieved by using oxidizers and other agents, such as periodates, which activate side-chains or moieties on the polymer so that they may react with other side-chains or moieties to form the cross-linking bonds. An additional method of cross-linking comprises exposing the polymers to radiation, such as  $\gamma$ -radiation, to activate the side polymer to permit cross-linking reactions. Dehydrothermal cross-linking methods are  
15 also suitable. Dehydrothermal cross-linking of gelatin can be achieved by holding it at an elevated temperature, typically 120° C, for a period of at least 8 hours. Increasing the extent of cross-linking, as manifested in a decline in percent swell at equilibrium, can be achieved by elevating the holding temperature, extending the duration of the holding time, or a combination of both. Operating under reduced pressure can accelerate the  
20 cross-linking reaction. Preferred methods for cross-linking gelatin molecules are described below.

Exemplary methods for producing molecular cross-linked gelatins are as follows. Gelatin is obtained and placed in an aqueous buffer to form a non-cross-linked hydrogel, typically having a solids content from 1% to 70% by weight, usually from 3%  
25 to 10% by weight. The gelatin is cross-linked, typically by exposure to either glutaraldehyde (e.g., 0.01% to 0.05% w/w, overnight at 0° to 15° C in an aqueous buffer), sodium periodate (e.g., 0.05 M, held at 0° C to 15° C for 48 hours) or 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide ("EDC") (e.g., 0.5% to 1.5% w/w, overnight at room temperature), or by exposure to about 0.3 to 3 megarads of gamma or electron beam  
30 radiation. Alternatively, gelatin particles can be suspended in an alcohol, preferably methyl alcohol or ethyl alcohol, at a solids content of 1% to 70% by weight, usually 3% to 10% by weight, and cross-linked by exposure to a cross-linking agent, typically glutaraldehyde (e.g., 0.01% to 0.1% w/w, overnight at room temperature). In the case of

aldehydes, the pH will usually be held from about 6 to 11, preferably from 7 to 10. When cross-linking with glutaraldehyde, the cross-links appear to be formed via Schiff bases which may be stabilized by subsequent reduction, e.g., by treatment with sodium borohydride. After cross-linking, the resulting granules may be washed in water and optionally rinsed in an alcohol and dried. The resulting cross-linked gelatin may then be used as described in more detail hereinafter. Alternatively, the gelatin may be mechanically disrupted prior to or after cross-linking, also as described in more detail hereinafter.

Exemplary methods for producing molecular cross-linked gelatin compositions having equilibrium percent swells in the range from about 200% to about 5000%, preferably 500% to 1000%, are as follows. Gelatin is obtained and placed in an aqueous buffer (typically at a pH of 6 to 11, preferably at a pH between 7 and 10) containing a cross-linking agent in solution (typically glutaraldehyde, preferably at a concentration of 0.01% to 0.1% w/w) to form a hydrogel, typically having a solids content from 1% to 70% by weight, usually from 3% to 10% by weight. The hydrogel is well mixed and held overnight at 0°-15° C as cross-linking takes place. It is then rinsed three times with deionized water, optionally rinsed twice with an alcohol (preferably methyl alcohol, ethyl alcohol, or isopropyl alcohol) and allowed to dry at room temperature. Optionally, the hydrogel may be treated with sodium borohydride to further stabilize the cross-linking.

The non-cross-linked biologically compatible polymers may be formed from many of the same polymers described above for the cross-linked components. By using the polymers in a non-cross-linked form, however, the polymers will generally be less persistent in the presence of blood or other aqueous medium and are thus suitable as binders for holding the cross-linked materials of the present invention together. Particularly suitable protein non-cross-linked polymers include gelatin, collagen, elastin, albumin, keratin, and the like. Other suitable non-cross-linked carbohydrate and carbohydrate derivative polymers include glycosaminoglycans, alginate, starch, cellulose, derivatives thereof, and the like. In preparing the compositions of the present invention, the non-cross-linked polymers will typically first be suspended in a suitable medium, typically an aqueous medium, having suitable buffers, secondary binders, plasticizers, preservatives, antioxidants, bioactive agents, or the like, added. Once the non-cross-linked polymer is suspended at a suitable concentration, typically in the range from

0.2 weight % to 10 weight %, preferably from 0.25 weight % to 2 weight %, the cross-linked polymer will be added, typically in a dry particle form. After the dispersion of the cross-linked polymer has been well mixed in the solution of the non-cross-linked polymer, the suspension may be dried by any conventional technique. Preferably, the medium will be spread in a thin layer, typically from 1 mm to 25 mm, depending on the solids concentration in the medium, and lyophilized to produce a dry, sponge-like material which may then be sterilized and used in the methods described hereinbelow. Alternatively, the solution of non-cross-linked polymer, which may also contain other active or bioactive agents, may be sterile filtered and combined in a sterile environment with the cross-linked polymer sterilized by other means and further processing carried out under aseptic conditions. Other suitable drying techniques include air drying, heat drying, spray drying, molding, or the like. While the use of sheet-like materials would be preferred, the materials can be further formed into other geometries, such as pellets, plugs, cylinders, half-cylinders, tubes, or the like, for specific uses. The use of plugs may be preferred in the case of the sealing of vascular tracts, such as percutaneous punctures to a femoral or other artery or vein.

The compositions of the present invention may be further combined with other materials and components, such as bioactive component(s) to be delivered to the patient, viscosity modifiers, such as carbohydrates and alcohols, and other materials intended for other purposes, such as to control the rate of resorption. Exemplary bioactive components include, but are not limited to, proteins, carbohydrates, polysaccharides, nucleic acids, and inorganic and organic biologically active molecules such as enzymes, enzyme inhibitors, antibiotics, antineoplastic agents, bacteriostatic agents, bactericidal agents, antiviral agents, hemostatic agents, local anesthetics, anti-inflammatory agents, hormones, anti-angiogenic agents, antibodies, neurotransmitters, psychoactive drugs, drugs affecting reproductive organs and oligonucleotides, such as antisense oligonucleotides. Such bioactive components will typically be present at relatively low concentrations, typically below 10% by weight of the compositions, usually below 5% by weight, and often below 1% by weight. Two or more of such active agents may be combined in a single composition and/or two or more compositions may be used to deliver different active components where said components may interact at the delivery site.

Exemplary hemostatic agents include thrombin, fibrinogen and clotting factors. Hemostatic agents like thrombin may be added in concentrations ranging from 50 to 10,000 Units thrombin per gram of the composition (dry weight), preferably from about 100 Units thrombin per gram to about 5000 Units thrombin per gram.

5                    Optionally, the materials of the present invention may include a plasticizer to increase the malleability, flexibility, and rate of solubilization. The plasticizer may be an alcohol, such as polyethylene glycol, sorbitol, or glycerol, preferably being polyethylene glycol having a molecular weight ranging from about 200 to 1000 D, preferably being about 400 D. The plasticizers will be present in the compositions at  
10                    from about 1% of the solids by weight to 20% of the solids by weight, usually from 3% of the solids by weight to 15% of the solids by weight, of the composition. Usually, the plasticizer will be present at least in the non-cross-linked polymer phase of the material, but may also be present in the cross-linked polymer phase. Conveniently, the plasticizer may be added to the solution of the non-cross-linked polymer which is formed prior to the  
15                    addition of the cross-linked polymer.

                    The compositions of the present invention are particularly suitable for inhibiting bleeding (causing hemostasis) on and/or delivering drugs to an abraded or damaged tissue surface, e.g., any organ surface including the liver, spleen, heart, kidney, intestine, blood vessels, vascular organs, and the like. A sheet or other form of the dried  
20                    material is applied so that the actively bleeding abraded or damaged area is completely covered. Suitable hemostatic agents include thrombin, fibrinogen, and other clotting factors, as described for example in U.S. Patent Nos. 5,411,885; 4,627,879; 4,265,233; 4,298,598; 4,362,567; 4,377,572; and 4,442,655, the disclosures of which are incorporated herein by reference. Conveniently, catalytic components of the hemostasis  
25                    agent, e.g., thrombin, may also be added to the compositions of the present invention.

                    Referring now to Figs. 1 and 2, placement of a sheet 10 of hemoactive material according to the present invention over a bleeding site B and tissue T is illustrated. The sheet 10 may be trimmed to size prior to application and will then be placed over the tissue in its dry form. The sheet will conform to any irregularities in the  
30                    tissue surface and will immediately begin absorbing water from the blood present at the site. Within minutes, the non-cross-linked polymer component of the material will begin to dissolve and release the cross-linked particles so that they can even more closely conform to the geometry of the site. The cross-linked particles will then begin absorbing

water and swelling, as generally shown in Fig. 2. The hemostatic agents, such as thrombin, can be released from the non-cross-linked polymeric component substantially immediately, and over time by the cross-linked component. Substantially complete inhibition of bleeding can be achieved. Optionally, other bioactive agents can be delivered to the site using the same sheet of material.

Referring now to Fig. 3, kits according to the present invention will comprise a sheet 10 or other form of the dried polymeric material of the present invention, such as pellets 12, plugs 14, or the like. The materials will be formed sterilely or will be sterilized, preferably by terminal sterilization using  $\gamma$ -irradiation, ethylene oxide, electronic beam irradiation, and the like. While still in a sterile form, the materials will be packaged in a sterile package 20, such as a pouch, tube, tray, box, or the like. Instructions for use setting forth a method of placing the material over tissue in the presence of blood, e.g., at a wound, or surgical site, will also be provided as part of the kit.

The following examples are offered by way of illustration, not by way of limitation.

## EXPERIMENTAL

### EXAMPLE 1: MATERIALS AND METHODS FOR PRODUCTION OF UNCROSS-LINKED GELATIN POWDER

Bovine corium (Spears Co. PA) was agitated in an aqueous sodium hydroxide (Spectrum Chemical Co., CA) solution (0.1 M to 1.5 M preferably 0.4 M to 1.2 M) for a period of 1 to 18 hours (preferably one to four hours) at a temperature of 2° C to 30° C (preferably 22° C to 30° C). The corium slurry was then neutralized using an inorganic acid such as hydrochloric acid, phosphoric acid or sulfuric acid (Spectrum Chemical Co., CA) and the neutralized liquid phase was then separated from the insoluble corium by filtration through a sieve. The corium was then washed with non-pyrogenic water and an alcohol such as isopropyl alcohol (Spectrum Chemical Co., CA). After 3 to 12 washes, the corium was suspended in non-pyrogenic water and the corium/water slurry may be then heated to 50° C to 90° C preferably 60° C to 80° C to thermally gelatinize the corium. During the gelatinization cycle, the pH of the corium/water slurry was adjusted and controlled from pH 3 to pH 11, preferably pH 6 to pH 9. Also, the insoluble corium in the slurry may be disrupted by agitation and/or homogenization. The

disruption can occur before, during, or after the thermal gelatinization cycle. Thermal gelatinization was conducted for one to six hours. After gelatinization, the slurry was clarified. The gelatin slurry was dewatered by drying at 15° C to 40° C, preferably 20° C to 35° C. The dry gelatin, where dry implies a moisture content less than 20% by weight, was then disrupted by grinding.

**EXAMPLE 2: MATERIALS AND METHODS FOR PRODUCTION OF  
LYOPHILIZED COMPOSITE MIXTURE OF CROSS-LINKED AND  
UNCROSS-LINKED BIOPOLYMER IN SHEET FORM**

Uncross-linked gelatin powder was produced from bovine corium (Spears Co., PA) as in Example 1. A portion of the gelatin was used to make cross-linked gelatin particles, approximately 0.02 mm to 1.5 mm in diameter, by suspending the gelatin powder at 2.0% to 4.0 % solids by weight in an aqueous solution at a pH between 7 and 10 containing 0.0025% to 0.075% by weight glutaraldehyde for 18 to 24 hours at 5° C to 15° C. The solids were separated from the suspending buffer by sedimentation or filtration, rinsed with water, and re-suspended in a solution of sodium borohydride, 0.00833% to 0.0667% by weight, at a pH between 7 and 12, preferably 7 to 9. After one to six hours, the resulting cross-linked gelatin particles were separated from the aqueous phase by filtration or sedimentation, rinsed with water, and dried at ambient temperature.

A 30 mL solution was produced containing (by weight) 0.1% to 2.0% non-cross-linked gelatin powder, 0.1% to 2.0% polyethylene glycol (Spectrum Chemical, Gardena, CA) of an average molecular weight of 400 D, and 5,000 units to 10,000 units of bovine thrombin (Gentrac, Inc., Middleton, WI) in deionized water or buffer containing ascorbate. 1.0 g to 4.0 g of cross-linked gelatin particles were added to this solution and suspended. About 25 to 30 g of the resulting suspension were poured into a metal dish, approximately 7.5 cm in diameter, which was then placed in a bath of alcohol and dry ice for 5 to 10 minutes. The dish and its contents were next held for about one hour at -40° C and then placed in a lyophilization jar and transferred to a Labconco freeze drying unit, where lyophilization was allowed to proceed for 18 hours. At the end of the lyophilization, the dish contained a flexible, spongy, slightly brittle solid disc of a composite material, about 2 mm to 8 mm thick, comprised of cross-linked gelatin particles held within a matrix of non-cross-linked gelatin and other additives. The composite material was stored in a sealed plastic bag at room temperature until used or

transferred to a Chex-All pouch (Propper, Long Island City, NY) for shipment to be  $\gamma$ -irradiated.

EXAMPLE 3: USE OF LYOPHILIZED COMPOSITE MATERIAL AS A HEMOSTATIC AGENT

5 Heparin was administered intravenously to a farm grade Hampshire/Yorkshire cross pig (Pork Power Farms, Turlock, CA) to prolong the activated clotting time (ACT) of the animal to approximately three to five times its baseline value. A shallow circular divot, approximately 1 cm in diameter, was surgically produced on the spleen of the pig to test the hemostatic efficacy of the lyophilized  
10 composite material. The resulting lesion bled freely. A piece of the lyophilized composite material, prepared as in Example 2 and approximately 2.0 cm x 3.0 cm in size, was applied to the lesion with compression for two minutes. After compression was removed, no bleeding was observed. Three minutes later, some slight re-bleeding occurred in areas not fully contacted with the material. Additional material was applied  
15 with compression for one minute. After compression was removed, no further bleeding was observed. The lesion appeared to be sealed with a mixture of clotted blood and the applied composite material.

EXAMPLE 4: USE OF LYOPHILIZED COMPOSITE MATERIAL AS A HEMOSTATIC AGENT

20 A shallow divot, approximately 1 cm x 1 cm, was surgically created on the liver of a farm grade Hampshire/Yorkshire cross pig (Pork Power Farms, Turlock, CA) to test the hemostatic efficacy of the lyophilized composite material. The resulting lesion bled freely. A 2 cm x 3 cm piece of lyophilized composite material, prepared as in Example 2, was applied to the lesion with compression for one minute. After  
25 compression was removed, no bleeding was observed. The lesion appeared to be sealed with a mixture of clotted blood and the applied composite material.

EXAMPLE 5: USE OF LYOPHILIZED COMPOSITE MATERIAL AS A HEMOSTATIC AGENT

Heparin was administered intravenously to a farm grade  
30 Hampshire/Yorkshire cross pig (Pork Power Farms, Turlock, CA) to prolong the activated clotting time (ACT) of the animal to approximately three to five times its



baseline value. A shallow divot, approximately 1 cm x 1 cm, was then surgically created on the liver to test the hemostatic efficacy of the lyophilized composite material. The resulting lesion bled freely. A 2 cm x 3 cm piece of lyophilized composite material, prepared as in Example 2, was applied to the lesion with compression for one minute.

- 5 After compression was removed, no bleeding was observed from the site. The lesion appeared to be sealed with a mixture of clotted blood and the applied composite material.

#### EXAMPLE 6: IRRADIATION OF THE LYOPHILIZED COMPOSITE MATERIAL

- Sheets of lyophilized composite material were produced as in Example 2, sealed into Chex-All pouches (Propper, Long Island City, NY), and subjected to  
10  $\gamma$ -irradiation at 25-40 kGy (2.5-4.0 MRad) (a typical sterilizing dose) at ambient temperature (Sterigenics, Hayward, CA).

#### EXAMPLE 7: USE OF THE IRRADIATED MATERIAL AS A HEMOSTATIC AGENT

- Heparin was administered intravenously to a farm grade pig to prolong the  
15 activated clotting time (ACT) of the animal to approximately three to five times its baseline value. A shallow trough, approximately 2 cm x 0.5 cm, was surgically created on the liver of the pig to test the hemostatic efficacy of the material produced in Example 6. The resulting lesion bled freely. A 3 cm x 0.5 cm piece of material produced in Example 6 was applied to the lesion with compression for two minutes. After  
20 compression was removed, no bleeding was observed. The lesion appeared to be sealed with a mixture of clotted blood and the applied composite material. Twenty minutes after application, excess material was removed from the site with forceps. This did not disturb the seal and no subsequent bleeding was observed.

#### EXAMPLE 8: USE OF THE IRRADIATED MATERIAL AS A HEMOSTATIC AGENT

- Heparin was administered intravenously to a farm grade pig to prolong the activated clotting time (ACT) of the animal to approximately three to five times its baseline value. A hole, approximately 1.0 cm in diameter, was surgically created completely through the liver of the pig to test the hemostatic efficacy of the material  
30 produced in Example 6. The resulting lesion bled freely. A strip of the material produced in Example 6, approximately 0.5 cm x 3.0 cm in size, was folded and placed into the

lesion as a pledget and held in place with compression for about two minutes. After compression was released, no bleeding was observed. Following irrigation with saline, no further bleeding was observed. The lesion appeared to be sealed with a mixture of clotted blood and the applied composite material.

- 5                   While the above is a complete description of the preferred embodiments of the invention, various alternatives, modifications, and equivalents may be used. Therefore, the above description should not be taken as limiting the scope of the invention which is defined by the appended claims.

WHAT IS CLAIMED IS:

- 1                   1.       A dried hemoactive material comprising:  
2                   a cross-linked biologically compatible polymer which forms a hydrogel  
3 when exposed to blood; and  
4                   a non-cross-linked biologically compatible polymer which solubilizes  
5 when exposed to blood;  
6                   wherein the cross-linked polymer is dispersed in a dried matrix of the non-  
7 cross-linked polymer.
- 1                   2.       A dried hemoactive material comprising:  
2                   a non-cross-linked polymer comprising a dry gelatin matrix; and  
3                   dry, cross-linked gelatin polymer particles dispersed in the dry non-cross-  
4 linked gelatin matrix.
- 1                   3.       A material as in claim 1 or 2, wherein the cross-linked polymer  
2 has a degradation time of at least one day.
- 1                   4.       A material as in claim 1 or 2, wherein the non-cross-linked  
2 polymer solubilizes in 15 minutes or less when exposed to blood.
- 1                   5.       A material as in claim 1 or 2, wherein the cross-linked polymer is  
2 fragmented so that, upon hydration in blood, the polymer will form a gel with a sub-unit  
3 size in the range from 0.01 mm to 5 mm.
- 1                   6.       A material as in claim 5, wherein the cross-linked polymer has an  
2 equilibrium swell in the range from 400% to 5,000%.
- 1                   7.       A material as in claim 1 or 2, wherein the cross-linked polymer is  
2 present at from 50 weight % to 95 weight % of the material and the non-cross-linked  
3 material is present at from 50 weight % to 1 weight % of the material.
- 1                   8.       A material as in claim 7, further comprising a plasticizer present at  
2 from 1 weight % to 20 weight % of the material.

- 1                   9.     A material as in claim 8, wherein the plasticizer is present in at  
2     least the non-cross-linked polymer.
- 1                   10.    A material as in claim 9, wherein the plasticizer is selected from  
2     the group consisting of polyethylene glycol, sorbitol, and glycerol.
- 1                   11.    A material as in claim 1, wherein the cross-linked polymer is a  
2     protein selected from the group comprising gelatin, collagen, albumin, hemoglobin,  
3     fibrinogen, fibrin, fibronectin, elastin, keratin, laminin, and casein.
- 1                   12.    A material as in claim 1, wherein the cross-linked polymer is a  
2     carbohydrate or carbohydrate derivative selected from the group consisting of  
3     glycosaminoglycans, starches, celluloses, hemicelluloses, xylan, agarose, alginate, and  
4     chitosan.
- 1                   13.    A method as in claim 1, wherein the cross-linked polymer is a non-  
2     biologic hydrogel-forming polymer or copolymer selected from the group consisting of  
3     polyacrylates, polymethacrylates, polyacrylamides, polyvinyl polymers, polylactides-  
4     glycolides, polycaprolactones, polyoxyethelenes, and copolymers thereof.
- 1                   14.    A material as in claim 1, wherein the non-cross-linked biologically  
2     compatible polymer is a protein selected from the group consisting of gelatin, collagen,  
3     albumin, elastin, and keratin.
- 1                   15.    A material as in claim 1, wherein the non-cross-linked biologically  
2     compatible polymer is a carbohydrate or carbohydrate derivative selected from the group  
3     consisting of glycosaminoglycans, alginate, starch, cellulose, and derivatives thereof.
- 1                   16.    A material as in claim 1 or 2, further comprising an active agent.
- 1                   17.    A material as in claim 16, wherein the active agent is present in at  
2     least the non-cross-linked polymer.
- 1                   18.    A material as in claim 16, wherein the active agent is present in at  
2     least the cross-linked polymer.

- 1                    19.     A material as in claim 16, wherein the active agent is present in  
2 both the non-cross-linked polymer and the cross-linked polymer.
- 1                    20.     A material as in claim 16, wherein the active agent is selected from  
2 the group consisting of antibiotics, anti-neoplastic agents, bacteriostatic agents,  
3 bactericidal agents, antiviral agents, anesthetics, anti-inflammatory agents, hormones,  
4 anti-angiogenic agents, antibodies, enzymes, enzyme inhibitors, and neurotransmitters.
- 1                    21.     A material as in claim 16, wherein the active agent is a hemostatic  
2 substance.
- 1                    22.     A material as in claim 21, wherein the hemostatic substance is a  
2 clotting factor.
- 1                    23.     A material as in claim 22, wherein the clotting factor is thrombin.
- 1                    24.     A material as in claim 1 or 2, in the form of a sheet having a  
2 thickness in the range from 1 mm to 25 mm.
- 1                    25.     A material as in claim 24, wherein the sheet is packed in a sterile  
2 pack.
- 1                    26.     A kit comprising:  
2 a sterile pack;  
3 a sterile sheet of material as in claim 24, packaged in the sterile pack; and  
4 instructions for use setting forth a method for inhibiting bleeding by  
5 placing the sterilized sheet of material over bleeding tissue.
- 1                    27.     A method for inhibiting bleeding, said method comprising:  
2 applying the material of claim 21 to a wound site.
- 1                    28.     A method for delivering an active agent to a patient, said method  
2 comprising:  
3 exposing the material of claim 16 to patient blood.
- 1                    29.     A method for making a hemoactive material, said method  
2 comprising:

- 3                   dissolving a non-cross-linked biologically compatible polymer which
- 4   solubilizes when exposed to blood in an aqueous medium;
- 5                   suspending particles of a cross-linked biologically compatible polymer
- 6   which forms a hydrogel when exposed to blood in the aqueous medium; and
- 7                   drying the aqueous medium to form a solid phase comprising the dried
- 8   polymeric particles in a dry matrix of the non-cross-linked polymer.

1 / 2

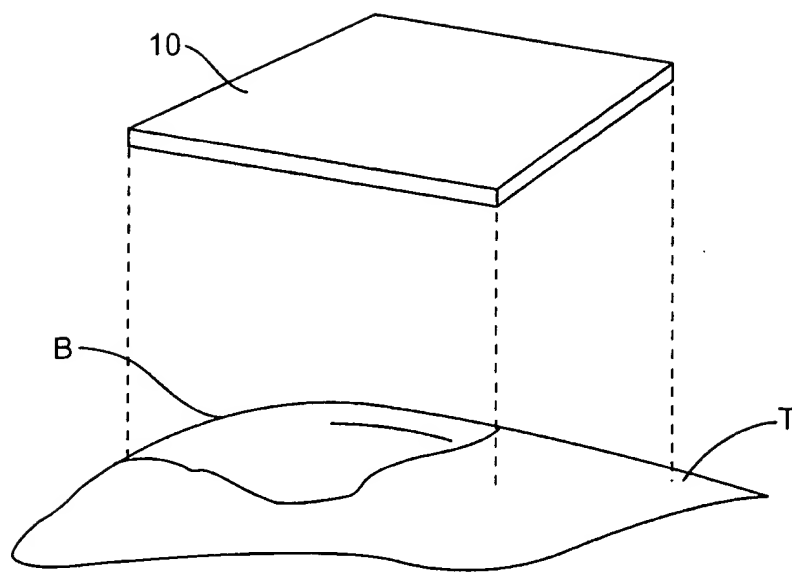


FIG. 1

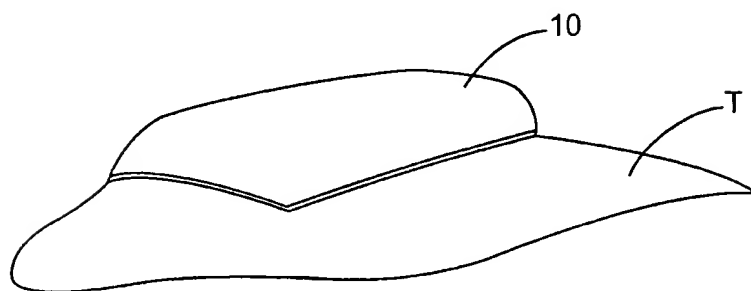


FIG. 2

2 / 2

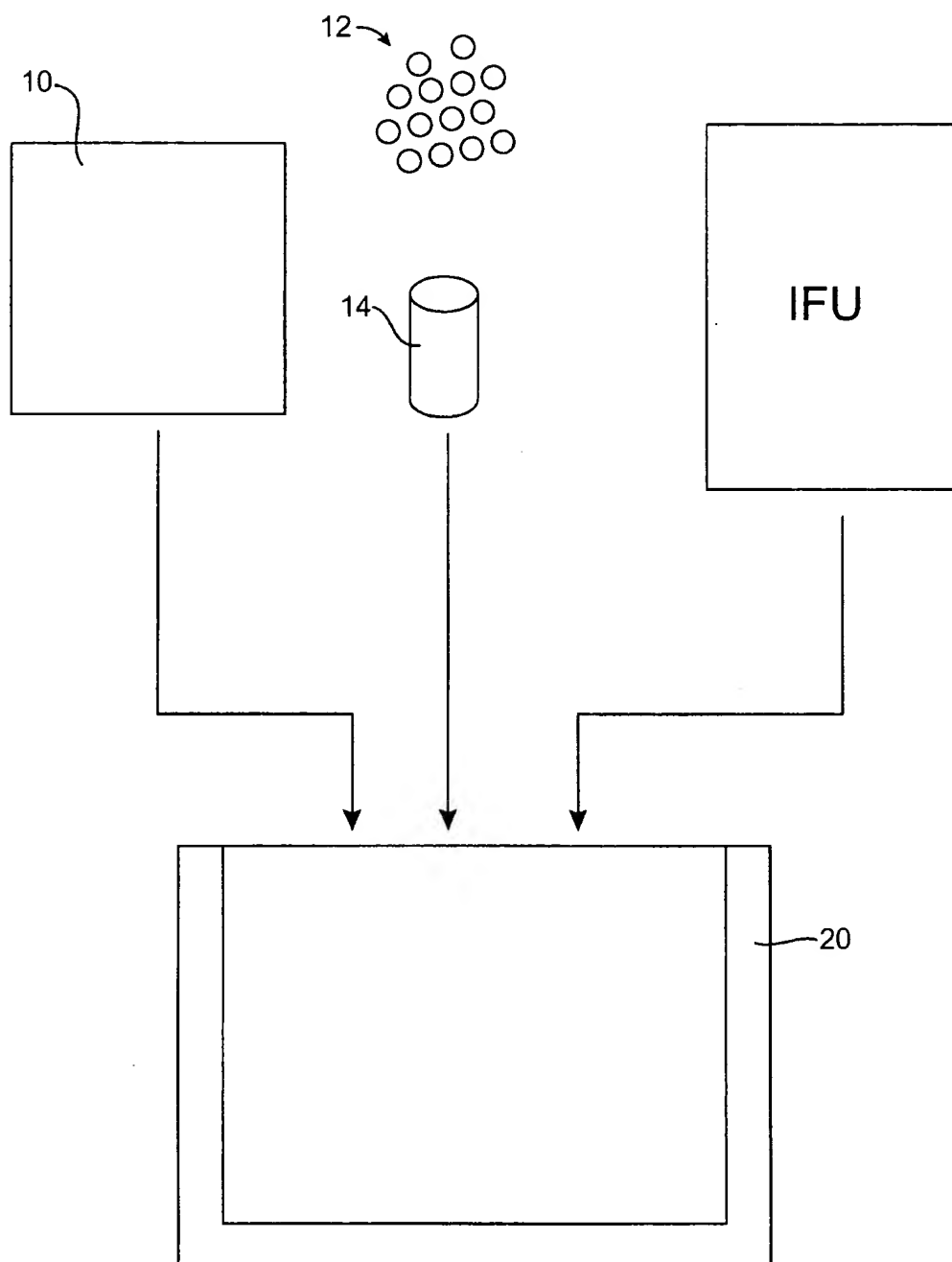


FIG. 3



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/15998**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A61K 38/00, 38/17, 35/14, 31/74, 9/00; A01N 43/04; C07K 1/00

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98/08550 A1 (FUSION MEDICAL TECHNOLOGIES, INC.) 05 March 1998, page 5, lines 16 to page 14, lines 16; page 21, lines 1 to page 22, lines 8; claims 1-23, 28-33 and 44.	1-29
X,P	US 6,066,325 A (WALLACE et al) 23 May 2000, col. 4, lines 42 to col. 7, lines 56; col. 9, lines 65 to col. 10, lines 14; col. 11, lines 18 to col. 14, lines 52; and claims 1-8.	1-29
A	US 5,428,024 A (CHU et al) 27 June 1995, abstract and col. 2, lines 36 to col. 4, lines 45.	1-29

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents: *A* document defining the general state of the art which is not considered to be of particular relevance *B* earlier document published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed		*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art *A* document member of the same patent family
---	--	--

Date of the actual completion of the international search

06 AUGUST 2000

Date of mailing of the international search report

30 AUG 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ABDEL A. MOHAMED

Telephone No. (703) 308-0196

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/15998

**A. CLASSIFICATION OF SUBJECT MATTER:**

US CL :

514/21, 54, 55, 57, 60, 777, 944, 947; 530/354, 356, 357, 362, 380, 382, 385, 402; 424/78.02, 78.06, 400, 412, 478, 480, 529; 435/810

**B. FIELDS SEARCHED**

Minimum documentation searched

Classification System: U.S.

514/21, 54, 55, 57, 60, 777, 944, 947; 530/354, 356, 357, 362, 380, 382, 385, 402; 424/78.02, 78.06, 400, 412, 478, 480, 529; 435/810

**B. FIELDS SEARCHED**

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, CAS ONLINE, DIALOG, MEDLINE, EMBASE, BIOSIS, WPIDS

search terms: Hydrogel, plasticizer, polyethylene glycol (PEG), sorbitol, glycerol, gelatin, collagen, albumin, hemoglobin, fibrinogen, fibronectin, elastin, keratin, laminin, glycosaminoglycan, starch, cellulose, xylan, agarose, alginate, chitosan, polyacrylate or polymethacrylate or polycaprolactone.